

Please type a plus sign (+) inside this box

+

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of 2

Complete if Known

Application Number 09/898,266
Filing Date 07-03-2001
First Named Inventor Gary W. Policastro et al.
Group Art Unit 3713 2172
Examiner Name Unassigned
Attorney Docket Number 37077.001005

U.S. PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	U1					

FOREIGN PATENT DOCUMENTS

Examiner Initials *	Cite No. ¹	Foreign Patent Document			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ₆
		Office ³	Number ⁴	Kind Code ⁵ (if known)				
	F1							

NON PATENT LITERATURE DOCUMENTS

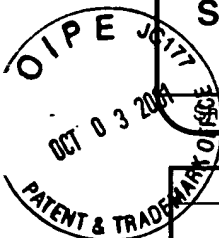
Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
1/27F	P1	Barqin, Ramon & Paller, Alan. "10 Data Warehouse Mistakes to Avoid." <u>Application Development Trends</u> , July 1995, 6 pages	Technology Center 2100
1/27F	P2	Kimball, Ralph. "Causal (Not Casual) Dimensions." <u>DBMS</u> , November 1996, 3 pages	
1/27F	P3	Kimball, Ralph. "Data Warehouse Role Models." <u>DBMS Magazine</u> , August 1997, 3 pages	
1/27F	P4	Kimball, Ralph. "Drilling Down, Up, and Across." <u>DBMS</u> , March 1996, 4 pages	
1/27F	P5	Kimball, Ralph. "Help for Dimensional Modeling." <u>DBMS Magazine</u> , August 1998, 6 pages	
1/27F	P6	Kimball, Ralph. "Is Data Staging Relational." <u>DBMS Magazine</u> , April 1998, 4 pages	
1/27F	P7	Kimball, Ralph. "Is ER Modeling Hazardous to DSS?." <u>DBMS</u> , October 1995, 3 pages	
1/27F	P8	Kimball, Ralph. "It's Time for Time." <u>DBMS Magazine</u> , July 1997, 5 pages	
1/27F	P9	Kimball, Ralph. "Slowly Changing Dimensions." <u>DBMS</u> , April 1996, 3 pages	

RECEIVED

OCT - 5 2001

RECEIVED

OCT 10 2001



TECHNOLOGY CENTER 2100

Please type a plus sign (+) inside this box

+

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 2 of 2

Complete if Known

Application Number	09/898,266
Filing Date	07-03-2001
First Named Inventor	Gary W. Policastro et al.
Group Art Unit	3713 2172
Examiner Name	Unassigned
Attorney Docket Number	37077.001005

NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.
1/24F	P10	Kimball, Ralph. "Surrogate Keys." <u>DBMS Magazine</u> , May 1998, 4 pages
1/24F	P11	Madsen, Mark. "Warehouse Design in the Aggregate." <u>Database Programming & Design</u> , July 1996, 7 pages
1/24F	P12	Moriarty, Terry. "Modeling Data Warehouses." <u>Database Programming & Design</u> , August 1995, 5 pages
1/24F	P13	Pletch, Andrew. "Three Questions for Database Design." <u>Database Programming & Design</u> , September 1989, 17 pages

Examiner Signature

Date Considered

6-26-03

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

RECEIVED

OCT - 5 2001

RECEIVED

OCT 10 2001

Technology Center 2100